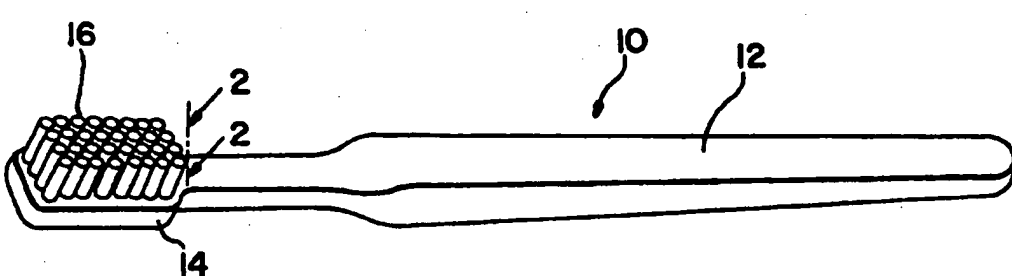


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(54) Title: TOOTHBRUSH			
			
(57) Abstract			
<p>The present invention relates to a toothbrush with uniform diameter bristles containing a polishing agent with a particle size of from about 0.01 <math>\mu\text{m}</math> to about 100 <math>\mu\text{m}</math>, wherein cleaning of the teeth is improved without any of the adverse side effects associated with over aggressive abrasion. An embodiment of the present invention includes a toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments comprised of (a) a thermoplastic filament base material and (b) an effective polishing amount of a polishing agent having a particle size of from about 0.1 <math>\mu\text{m}</math> to about 10 <math>\mu\text{m}</math>. Particles less than 0.1 <math>\mu\text{m}</math> can be used if aggregation occurs such that the aggregate size on bristle is as described. Another embodiment of the present invention includes a method of cleaning the oral cavity comprised of: (A) providing a toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments comprised of (a) a thermoplastic filament base material and (b) an effective polishing amount of a polishing agent having a particle size of from about 0.10 to about 10 microns; (B) applying an effective amount of an <u>abrasive-free</u> and <u>polishing agent-free</u> dentifrice to the free ends of said bristles; and, (C) brushing the teeth, gums, etc. of said oral cavity.</p>			

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TOOTHBRUSH1. The Field of the Invention

This invention relates to novel  
filaments (or fibers) for toothbrushes. More  
precisely, this invention relates to an improved  
filament for cleaning the oral cavity and  
polishing the teeth. The present invention also  
relates to a method of cleaning the oral cavity  
and polishing the teeth utilizing a brush  
containing said novel, improved filaments.

2. Description of the Prior Art

Commercially available toothbrushes  
typically have elongated handles with monofilament  
or co-extruded filament bristles mounted on a  
generally flattened, laterally-facing head at the  
distal end of a handle. The thin flexible  
bristles are smooth members of which the ends are  
cut off at right angles and are often rounded to  
dome-like tips. Toothbrushes of this type and the  
mechanism of toothbrushing play an important part  
in oral hygiene. It has been shown unequivocally  
that toothbrushing is instrumental in reducing  
dental decay. See, for example, Fosdick, L.S.  
J. Am. Dent. Assoc., 40, 133 (1950). Furthermore,  
regular brushing with a cosmetic dentifrice  
further reduces the incidence of decay among  
susceptible subjects.

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Regular toothbrushing with a dentifrice is further touted as being effective in reducing or preventing periodontal disease, removing food debris, and massaging the gums. Most commercial dentifrices include a mild abrasive powder to improve the composition's ability to remove adherent soiling matter, to free accessible plaque, to dislodge accessible debris and to remove superficial stain from the teeth.

Attempts have been made to embed abrasive materials or adhere abrasive materials on fiber strands for use in toothbrushes. See, for example, U.S. Pat. No. 1,470,710 to Davis and U.S. Pat. No. 5,249,961 to Hoagland. These attempts did not meet the needs of the consumer due to their tendency to (a) lose embedded abrasive; (b) abrade the gums; and (c) lack mechanical durability. Also, U.S. Pat. No. 3,618,154 to Muhler et al. describes a one piece integrally molded brush with tapered bristles. The entire brush/bristle combination is made of plastic containing up to 30% (wt.) abrasive material. This attempt has not met with success due to the difficulty of molding such a brush. In addition, molded, i.e. unoriented, bristles tend to have poor mechanical properties, e.g. stiffness, bend recovery, etc., and tend to splay.

Also, abrasive materials have been added to the elastomeric material used in prophylactic cleaning cups. These power driven cups are used to polish and clean the teeth by a highly skilled dental practitioner. See, for example, U.S. Pat. No. 3,977,084 to Sloan and U.S. Pat. No. 5,273,559 to Hammar et al.

Attempts have been made to provide a toothbrush with a roughened irregular surface to make the bristle wall more abrasive. See, for

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example, U.S. Pat. No. 3,671,381 to Hansen. This attempt requires costly subsequent etching of the bristle with caustic or high pressure steam and results in a loss of mechanical properties.

5 Others have attempted to provide bristles with more regular abrasive protrusions. See, for example, U.S. Pat. No. 4,373,541 to Nishioka. These attempts have not met with commercial success due to the inconvenience and increased  
10 processing cost associated with molding each bristle individually. Furthermore, these bristles exhibit extremely poor mechanical properties.

Abrasive containing filament materials are widely used in non-oral care, industrial  
15 applications such as metal polishing, street sweeping, vacuum cleaner brushes, etc. See, for example, U.S. Pat. Nos. 2,336,797 to Maxwell; 2,609,642 to Peterson; 2,711,365 to Price et al; 2,712,987 to Storrs et al; 2,836,517 to Gruber et  
20 al; 2,920,947 to Burk et al; 3,115,401 to Downing et al; 3,384,915 to Rands; 3,556,752 to Wilson; 3,577,839 to Charvat et al; 3,696,563 to Rands; 4,305,234 to Pichelman; 4,627,950 to Matsui; 4,630,407 to Rhodes; 4,704,823 to Steinback;  
25 5,016,311 to Young et al; 5,030,496 to McGurran; 5,045,091 to Abrahamson et al; 5,056,267 to Nicely et al; 5,083,840 to Young; 5,108,155 to Hettes et al; 5,211,725 to Fowlie et al; and, 5,227,229 to McMahan et al.

30 Summary of the Invention

We have discovered that by fabricating a toothbrush with uniform diameter bristles containing a polishing agent with a particle size of from about 0.01 to about 100  $\mu\text{m}$ , that cleaning  
35 of the teeth is improved without any of the adverse side effects associated with over aggressive abrasion. An embodiment of the present

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invention includes a toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments comprised of (a) a thermoplastic filament base material and (b) an effective polishing amount of a polishing agent having a particle size of from about 0.1  $\mu\text{m}$  to about 10  $\mu\text{m}$ . Particles less than 0.1  $\mu\text{m}$  can be used if aggregation occurs such that the aggregate size on the bristle is as described.

Another embodiment of the present invention includes a method of cleaning the oral cavity comprised of: (A) providing a toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments comprised of (a) a thermoplastic filament base material and (b) an effective polishing amount of a polishing agent having a particle size of from about 0.10 to about 10 microns; (B) applying an effective amount of an abrasive-free and polishing agent-free dentifrice to the free ends of said bristles; and, (C) brushing the teeth, gums, etc. of said oral cavity.

An object of the present invention is to provide a toothbrush which overcomes the shortcomings of the prior art toothbrushes described above.

Another object of the present invention is to provide a toothbrush with improved mouth-feel.

Still, another object of the present invention is to provide a toothbrush which provides good polishing and cleaning to the teeth even when used with a non-abrasive toothpaste.

Another object of the present invention

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is to decrease wear and splaying.

Another object is to decrease brushing time needed to achieve good oral hygiene.

Yet another object of the present invention is to provide a toothbrush bristle material with easier material handling characteristics. We have observed that the bristles utilized in the present invention may be grabbed by the picker mechanism more easily and handled more effectively during the tufting operation.

And yet another object of the present invention is to provide a bristle filament which results in a generally more uniformly rounded end (i.e., end-rounded) when processed with conventional abrasive end-rounding equipment.

These and other objects will be evident from the following:

Brief Description of the Drawings

Fig. 1 is a fragmentary side elevational view of a brush which is used to illustrate the concept of the invention;

Fig. 2 is an enlarged fragmentary top plan view of the brush of Fig. 1;

Figs. 3 and 4 are magnified, diagrammatic side elevational views of novel filaments of the invention taken along line 2-2 of Fig. 1 with a portion of the filament broken away.

Figs. 5 and 6 are scanning electron micrographic sectional views of the surface of filaments according to the present invention. Both filaments are Nylon 612 containing 4% hydrated Kaolin Clay having an average particle size of about 0.6  $\mu\text{m}$ . Figure 5 is at a magnification of 350X and Figure 6 is at a magnification of 1,200X.

Fig. 7 is a schematic diagram depicting

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the co-extrusion process used to manufacture the bristle of Fig. 4.

Detailed Description of the Present Invention

In toothbrushes of the present invention, the novel filaments are included in toothbrushes of the type shown in FIG. 1. The toothbrush shall have at least one tuft securely affixed in or attached to the head, said tuft including a plurality of filaments according to the present invention. As shown there, the toothbrush 10 includes a handle 12 and a head 14 having a plurality of tufts 16. Tufts 16 comprise a plurality of individual filaments and, tufts 16 are securely affixed in or attached to head 14 in manners known to the art. The configuration of head 14 and tufts 16 can vary and may be oval, convex curved, concave curved, flat trim, serrated "V" or any other desired configuration. Additionally, the configuration, shape and size of handle 12 or tufts 16 can vary and the axes of handle 12 and head 14 may be on the same or a different plane. The longitudinal and cross-sectional dimensions of the filaments of the invention and the profile of the filament ends can vary and the stiffness, resiliency and shape of the filament end can vary. Preferred filaments of the present invention have substantially uniform longitudinal lengths between about 0.50 to about 1.50 cm., substantially uniform cross-sectional dimensions between about 100  $\mu\text{m}$  to about 350  $\mu\text{m}$  and have smooth or rounded tips or ends.

Referring to Fig. 2, toothbrush bristles utilized in the present invention include a polishing agent and a thermoplastic filament base material. We have discovered that by utilizing a polishing agent with an average particle diameter of from 0.10 to about 10 microns (or the



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equivalent via particle aggregation) that improved cleaning performances are obtained from the toothbrush without the severe gum abrasion and enamel degradation associated with industrial abrasive filaments. As used herein, the term polishing agent refers to a material with a particle size predominantly between 0.01-100  $\mu\text{m}$  and a Moh's hardness between 0.5, and 10, preferably 5 or less, and such that it does not damage the gums. Aggregates of particles smaller than 0.1  $\mu\text{m}$  can also be used as long as the aggregate has a mean diameter within the claimed range.

The level of polishing agent in the bristle varies with the type of bristle base material, the diameter of the polishing agent and the type of polishing agent (hardness). Generally, the effective level of polishing agent is from about 0.2% (wt) to about 25% (wt), preferably from about 0.5% (wt) to about 5% (wt).

Polishing agents suitable for use in the present invention include:

- particles of plastic;
- particles of walnut shells;
- particles of hardwood;
- particles of corn cob;
- particles of rubber;
- calcium carbonate;
- aragonite clay;
- orthorhombic clays;
- calcite clay;
- rhombohedral clays;
- kaolin clay;
- bentonite clay;
- dicalcium phosphate;
- dicalcium phosphate anhydrous;
- dicalcium phosphate dihydrate;

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5           tricalcium phosphate;  
          calcium pyrophosphate;  
          insoluble sodium metaphosphate;  
          precipitated calcium carbonate;  
          magnesium orthophosphate;  
          trimagnesium phosphate;  
          hydroxyapatites;  
          synthetic apatites;  
          alumina;  
10          hydrated alumina;  
          hydrated silica xerogel;  
          metal aluminosilicate complexes;  
          sodium aluminum silicates;  
          zirconium silicate;  
15          silicon dioxide; and  
          combinations thereof.

Preferred polishing agents include:

          Kaolin clays, characterized as calcined or  
          hydrated clay; alumina ( $\text{Al}_2\text{O}_3$ ), specifically  
20          hydrated alumina manufactured by Whittaker;  
          hydroxyapatite; silica ( $\text{SiO}_2$ ), particularly CAB-O-  
          SIL brand silica (silicon dioxide) manufactured by  
          Cabot, Corp.; and combinations thereof.

          The silicas can be precipitated silica  
25          or silica gels such as the silica xerogels  
          described in Pader et al., U.S. Pat. No.  
          3,538,230, issued Mar. 2, 1970 and DiGiulio, U.S.  
          Pat. No. 3,862,307, Jun. 21, 1975, both  
          incorporated herein by reference. Preferred are  
30          the silica xerogels marketed under the tradename  
          "Syloid" by the W.R. Grace & Company, Davison  
          Chemical Division. Preferred precipitated silica  
          materials include those marketed by the J.M. Huber  
          Corporation under the tradename "Zeodent",  
35          particularly the silica carrying the designation  
          "Zeodent 119". These silicas are described in  
          U.S. Pat. No. 4,340,583, Jul. 29, 1982,

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incorporated herein by reference.

The most preferred polishing agent is a kaolin clay. The kaolin clay can be hydrated, like ASP 6000 brand kaolin clay, distributed by Engelhard Corp., Iselin, N.J. The kaolin clay can also be anhydrous, like Translink 555 brand kaolin clay distributed by Engelhard Corp., Iselin, N.J. Furthermore, the surface of the kaolin clay can be modified with a surfactant, like Translink 555 brand kaolin clay or Polarlink 5 brand kaolin clay, distributed by Polymer Valley Sciences, Akron, OH.

Preferred filaments of the present invention have the following characteristics at room temperature:

Diameter Range: 0.004-0.012" (100  $\mu$ m - 350  $\mu$ m)

Coefficient of Friction: 0.01-0.90  
(ASTM D3108, D3702)

Stiffness: Soft - Medium (ISO 8627)  
Tuft Retention: > 3 lbs. (ASTM D638)  
Bend Recovery: 80-100% (DuPont Mandrel Method)

Elongation at Break: 1-500% (ASTM D638)  
Tensile Strength: 5,000-200,000 psi  
(ASTM D638)

Tensile and Flexural Modulus: 100,000-3,000,000 psi (ASTM D638, D790)

Most preferred filaments of the present invention have the following characteristics at room temperature:

Coefficient of Friction: 0.2-0.8  
Tuft Retention: 3-10 lbs.  
Bend Recovery: 90-100%  
Elongation at Break: 1-200%  
Tensile Strength: 5000-100,000 psi  
Tensile and Flexural Modulus: 100,000-

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1,500,000 psi

It has been observed that the addition of the polishing agent to the bristle filament may have an effect on the stiffness of the filament.

5 Accordingly, it is desirable to fabricate thin bristles with a high stiffness for penetrating between the teeth. This is done by adjusting the extrusion parameters and the composition of the bristle. In a preferred embodiment of the present invention, bristles have a diameter of from about

10 100  $\mu\text{m}$  to about 350  $\mu\text{m}$ , most preferably, from about 150  $\mu\text{m}$  to about 200  $\mu\text{m}$ , with a flex and tensile modulus stiffness of from about, 100,000 to about 3,000,000 psi, preferably from about

15 100,000 to about 1,500,000 psi.

The bristle filaments of the present invention have a "generally uniform diameter", which means that the cross section does not vary significantly along the length of the filament.

20 Preferably, the cross-section does not vary by more than 20%, most preferably not more than 10%, along the length of the filaments. The cross-section is preferably round, however, other shapes, e.g., square, octagonal and rectangular,

25 are within the scope of the present invention. Also, the tip or free end of the filament can be rounded off, resulting in a general dome shape having a height to mean width ratio of less than about 1, preferably about 0.5.

30 Thermoplastic filament base materials according to the present invention can be any material in which said polishing agent can be dispersed and fabricated into a toothbrush bristle. Preferred thermoplastic filament base

35 material can be any material selected from the group consisting of polyamides (e.g., Nylon 612, Amodel), acetyl resins, polyesters (e.g.

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polybutylene terephthalate - PBT), fluoropolymers (e.g. poly (vinylidene difluoride) - PVDF, fluorinated ethylene-propylene resin - FEP), polyacrylates, polysulfones and combinations thereof. Preferably, the thermoplastic base material is a polyamide such as DuPont or BASF filament grade polyamides; an acetyl resin such as DuPont filament grade acetyl resin; or a polyester such as DuPont, Celanese or General Electric filament grade polyester.

Other additives may also be added to the bristle material. For example, a dispersing agent may be required to keep the polishing agent adequately dispersed during the processing of the filament material. These dispersing agents can be selected from the group consisting of: magnesium stearate, zinc stearate, calcium stearate, dimethylamides of unsaturated fatty acid, fatty acids (e.g. stearic acid), fluoropolymer-based dispersants, fats (i.e. esters of glycerol), aluminum stearate, silicone oils, bisamide waxes and combinations thereof. Preferred dispersing agents are selected from the group consisting of magnesium stearate, zinc stearate, calcium stearate, bisamide waxes and combinations thereof.

Also, coupling agents may be added to the present invention to increase the interaction between the thermoplastic base material and the polishing particles; thus, keeping them in suspension and evenly dispersed during processing and also to improve tensile strength, tensile modulus and flex modulus. These coupling agents are selected from the group consisting of vinyl silane, chloropropyl silane, epoxy silane, methacrylate silane, primary amine silane, diamine silane, mercapto silane, cationic silane, cycloaliphatic epoxide silane, titanate (e.g.,

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tris-(methacryl) isopropyl titanate) and combinations thereof. Alternatively, polishing agents such as kaolin can be coated with coupling agents such as available from Engelhard.

5 Other additives known to those skilled in the art may be added to the bristle material, such as polyethylene glycol, antioxidants, plasticizers, etc.

10 Although monofilaments according to the present invention are preferred, the present bristles can be prepared by a co-extrusion process wherein the outer region (sheath) contains the effective polishing agent and the core can even be free of said polishing agents. For a general  
15 discussion of co-extrusion technology, see Levy, Plastics Extrusion Technology Handbook, Industrial Press Inc., pp. 168-188 (1981). In addition, they can be prepared in a manner in which the reverse is true, i.e., polishing core. This type will  
20 clean only on the tip.

FIG. 4 diagrammatically represents a preferred co-extruded filament of the present invention. Filament 20 includes longitudinal surface 22 which terminates at a tip or end 18 and  
25 defines the boundary of the cross-sectional area 24 of the filament. Cross-sectional area includes a core region 26 and a sheath region 28. The core need not contain a polishing agent. Typically, the sheath region 26 extends at least about  
30 surface 22 or preferably extends from surface 22 inwardly into a portion of cross-sectional area 24 to a distance 30 of region 26 into cross-sectional area. Preferably, region 26 provides an annular ring having a substantially uniform depth 30.  
35 Most preferably, this depth should not vary more than 20% from the mean depth around the annular ring. In either event, core region 28 occupies

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the remaining portion of the overall cross-sectional area defined by maximum diameter 24.

In an embodiment of the present invention, the two regions 26 and 28 have  
5 different color or different intensities. As used herein the term "colored region" can mean a core or sheath which is made of a plastic with a unique color. Furthermore, transparent or translucent regions are also considered to be "colored" as  
10 they are at least of different optical appearance than a truly pigmented or dyed region, as is also the case for a sheath/core of varying degrees of color intensity. It is important that the core 28 and sheath 26 materials have visually different  
15 color, e.g., white core and blue sheath, transparent core and red sheath, light red core and dark red sheath, etc. Preferred bristles according to the present invention comprise a white or transparent core and a dyed or pigmented  
20 sheath. Accordingly, sheath color region 26 provides an initial color intensity or color which is predominant and more conspicuous to the toothbrush user while the color intensity of core region 28 is less conspicuous. In response to  
25 wear produced by progressive brushing, the region 26 wears, and after sufficient wear the perceived change in color of the bristle to that of core region 28 signals the user that the filament is no longer effective.

30

\* \* \*

Monofilament bristles according to the present invention can be prepared by the following general process method:

In a preferred extrusion unit according  
35 to the present invention, the system includes an extrusion die. The set also includes a 3/4" Haake extruder, a cooling trough, a puller and a winder.

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The extruder is equipped with a screw with a L/D ratio of 25:1 and a compression ratio of 3:1 and a 5 HP motor capable of operating at screw speeds and processing temperatures of up to 250 rpm and 500°C., respectively. The extruder incorporates six temperature controllers to control processing temperatures. The screw speeds are optimized to minimize interfacial shear stresses. The particular connections between these physical properties would be apparent to one skilled in the art. A gear pump is needed for diameter control.

After melt spinning, orientation and relaxation is performed directly or at sometime later. Spin finish may be necessary before this step. Orientation/relaxation involves heating and drawing-down using godets and heated ovens. The final length: initial length (draw-down ratio) may range from 1.5-10, depending upon the thermoplastic base and filler. Exact specifications would be understood by those skilled in the art. Conditioning the resulting monofilament with steam, hot water or others may be necessary, depending upon the thermoplastic base and filler.

The above extruder may be fed in any of the fashions below:

1. Pre-compounded.
  - a) straight
  - b) with let-down
2. Gravimetrically using 2 hoppers
3. Gravimetrically using 1 hopper.

\* \* \*

Co-extruded bristles according to the present invention can be prepared by the following general process:

FIG. 7 shows a schematic cross-sectional view of a co-extrusion filament die 41. The die head unit comprises the core orifice 42, the



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sheath orifice 35. The sheath material inlet manifolds 48 and 48', and the core inlet manifold 47. Typically the entire die is heated. The best condition for making co-extruded bristles is to have the melt viscosity of both resins, core 43 and sheath 44, as close together as possible at the point of stream combination. This results in the minimum disturbance at the interface between the two materials and results in a clear line of demarcation along the cross-sectional area at a magnification of about 250X. A sharp interface between the core and the sheath can also be produced by adjusting contact time, material grades or by using different resins. This can clearly be seen in photomicrograph FIG. 6.

In a preferred co-extrusion unit according to the present invention, the system includes a co-extrusion die which includes a cross head sheath die which rotates about the axis of extrusion 49. The set up also includes two 3/4" Haake extruders, a cooling trough, a puller and a winder. Each extruder is equipped with a screw with a L/D ratio of 25:1 and a compression ratio of 3:1 and a 5 HP motor capable of operating at screw speeds and processing temperatures of up to 250 rpm and 500°C., respectively. Each extruder incorporates six temperature controllers to control processing temperatures.

As an example, when nylon is used, the extrusion die has a core orifice 42 with an exit diameter of 0.080 inches and a sheath orifice 42 with an exit diameter of 0.080 inches and a sheath orifice 35 with an exit diameter of 0.085 inches. The core melt 43 is uncolored nylon (Zytel 158L) and the sheath melt 44 is an uncolored nylon containing 3% kaolin particles. Both melts and the die 31 are maintained at a temperature of

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190°C.-230°C. The core extruder operates at 20 rpm, 608 psi, and 5263 m.gm torque. The screw speeds are optimized to minimize interfacial shear stresses. The particular connections between these physical properties would be apparent to one skilled in the art. Furthermore, a full production line in this area will also include additional processing hardware for orienting (draw process), annealing and finishing.

10 Finally, to produce a 0.008" filament from the above extrusion die (orifice equals 0.085") the draw down ratio is set at 10.625:1. By employing this technique the thickness of the outer sheath layer 26 ranges from 0.0001" to 15 0.0004", and can be produced at a thickness of 0.0002" plus or minus 20%, typically plus or minus 10%. This highly uniform coating layer thickness is achieved by optimizing the ratio of the two extruder speeds and cross-head design. For 20 example, to extrude the above-mentioned 0.008" nylon bristles with a layer thickness of 0.0002", the ratio of the screw speed (sheath/core) is set at 10:1. Increasing the ratio results in a thinner outer layer up to a point when the outer 25 layer becomes discontinuous, while increasing both screw speeds increases, dye pressure and ends up degrading polymeric material. On the other hand reducing both screw speeds lowers the die pressure but reduces input. Optionally a gear pump can be 30 added to meter the materials more precisely.

As mentioned previously, the die may incorporate a rotating sheath orifice 45 to produce a more uniform coating on the filament. The technique involves rotating the outer frame 35 (sheath frame) of a co-extrusion die of from about 0.5 to about 50 RPM's depending on the rheological properties of the polymer used for forming the

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outer layer. When coating nylon bristles like the ones described above, a rotational speed of from about 0.5 to about 10.0 is utilized, most preferably from about 0.5 to about 5.0. A chain sprocket is added to the dye for the frame rotation. During the filament co-extrusion the sprocket is rotated at a set speed controlled by a motor with a chain drive. This frame rotation helps disperse the melt stream in the outer layer, thereby producing a uniform ultra thin layer. When the sheath screw speeds are metered back, discontinuous sheath coatings are produced. On a rotating die, this results in a swirling stripe around the filament similar to a barber's pole. Either of these concepts could also be used as a wear indicating bristle.

\* \* \*

Applicants consider equivalent embodiments to be part of the present invention. For example, non-circular bristles such as square, hexagonal, or other geometric cross sections are also contemplated by the present invention. Still further, the filaments of the present invention can also be used in power-driven toothbrushes, i.e., "electric toothbrushes". Also, crimped bristle filaments are also considered within the scope of the present invention. The invention and manner of making and using the invention will be more fully appreciated from the following non-limiting, illustrative examples:

#### EXAMPLES

The following filaments were prepared using the general method described below and the following test results were attained on raw filaments and from brushes made therefrom.

#### Extrusion Process

1. Ingredients are introduced to the hopper

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of a Davis-Standard single screw extruder with a 2 inch screw (manufactured by Crompton & Knowles Corp., Conn.). Temperature range for Nylon or polybutylene terephthalate (PBT) polyester is 500-550°F.

2. Materials are fed at a rate of about 100 pounds per hour. The melt is metered through filters and melt pumps and forced through heated die systems.

3. Hot melt is cooled, heated to a softening point and drawn, annealed and collected. Temperatures, pressures and drawing ratios are adjusted in accordance with the material being processed.

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Filament Properties										Brush Properties	
Sample ID	Plastic	Kaolin	Acrawax C	Diameter (inches)	DuPont Mandrel Bend Recovery (%)	Tensile Modulus (kpsi)	Tensile Strength (kpsi)	Elongation at Break (%)	Wear Index	In vitro plaque Removal (% Buccal/% gingivil)	
A	6.12 Nylon	2% Kaolin ASP-600	0.15%	.008	95.8	533	57	40			
B	6.12 Nylon	4% Kaolin ASP-600	0.15%	.008	95.5	511	51	30			
C	6.12 Nylon	4% Kaolin ASP-600	0	.008	96.2	490	49	27			
C2	6.12 Nylon	2% Kaolin ASP-600	0	.008	95.9	522	57	43			
D	6.12 Nylon	2% Kaolin Satintone 5	0.15%	.008	95.7	510	51	34			
G-6	6.12 Nylon	2% Kaolin Translink 555	0.15%	.008	95.8	529	55	37			
G-7	6.12 Nylon	2% Kaolin Translink 555	0.15%	.007	95.3	528	54	32			
I-8	6.12 Nylon	2% Kaolin Polarlink 5	0.15%	.008	95.7	523	55	36			

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I-7	6.12 Nylon	2% Kaolin Polarlink 5	0.15%	.007	95.4	540	56	34		
J-7	PBT Poly- ester	2% Kaolin Translink 555	0.15%	.007	94.3	519	49	38		
J-6	PBT Poly- ester	2% Kaolin Translink 555	0.15%	.006	94.4	573	53	36		
1	PBT Poly- ester	0.2% Cabosil	0.15%	.006	95.1	533	52	48		
2	PBT Poly- ester	0.2% Cabosil	0.15%	.007	94.9	534	52	41		
3	PBT Poly- ester	0.4% Cabosil	0.15%	.007	94.7	529	51	37		
4	PBT Poly- ester	0.4% Cabosil	0.15%	.006	95.5	522	51	43		
5	PBT Poly- ester	0.8% Cabosil	0.15%	.006	95.9	536	48	32		
6	PBT Poly- ester	0.8% Cabosil	0.15%	.007	95.8	510	44	28	.157	80/76
7	6.12 Nylon	0.2% Cabosil	0.15%	.007	96.9	502	57	45		
8	6.12 Nylon	0.2% Cabosil	0.15%	.008	96.3	489	56	47	.186	

9	6.12 Nylon	0.4% Cabosil	0.15%	.008	96.2	481	54	42		
10	6.12 Nylon	0.4% Cabosil	0.15%	.007	96.7	489	54	42		
11	6.12 Nylon	0.8% Cabosil	0.15%	.007	96.8	500	55	41		
12	6.12 Nylon	0.8% Cabosil	0.15%	.008	96.6	490	52	35	.128	76/63

Note: ASP 600 - Engelhard hydrated Kaolin 0.6  $\mu$ m average particle size and 0.1-6  $\mu$ m range, Iselin, NJ

Satintone 5 - Engelhard hydrated Kaolin with aminosilane surfactant, 0.8  $\mu$ m average particle size and 0.2-6  $\mu$ m range, Iselin, NJ

Polarlink - Polymer Valley Distribution hydrated Kaolin, .45 micron mean particle size with Mercapto silane treatment, manufactured by Polymer Valley Sciences, Akron, OH

Translink 555 - Engelhard surface modified anhydrous Kaolin, 0.8  $\mu$ m average particle size and 0.2-6.0  $\mu$ m range

Acrax C - N, N' Ethylene Bisstearamide (used as a dispersing agent) manufactured by Lonza Chemicals, Fair Lawn, NJ

Cabosil - Amorphous fumed silica (silicon dioxide) M-7D, .014 microns, Davison Chemical Division of W.R. Grace Co.

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C L A I M S

1. A toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head, said  
5 tuft including a plurality of elongated filaments having a generally uniform diameter comprised of:

(a) a thermoplastic filament base material; and,

(b) an effective polishing amount of a  
10 polishing agent having a particle size of from about 0.10 micron to about 10 microns.

2. A toothbrush according to claim 1, wherein the diameter of said filament does not vary more than 20% along the length of said  
15 filament.

3. A toothbrush according to claim 2, wherein the diameter of said filament does not vary more than 10% along the length of said filament.

20 4. A toothbrush according to claim 3, wherein said polishing agent is selected from the group consisting of:

particles of plastic;  
particles of walnut shells;  
25 particles of hardwood;  
particles of corn cob;  
particles of rubber;  
calcium carbonate;  
aragonite clay;  
30 orthorhombic clays;  
calcite clay;  
rhombohedral clays;  
kaolin clay;  
bentonite clay;  
35 dicalcium phosphate;  
dicalcium phosphate anhydrous;  
dicalcium phosphate dihydrate;



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tricalcium phosphate;  
calcium pyrophosphate;  
insoluble sodium metaphosphate;  
precipitated calcium carbonate;  
5 magnesium orthophosphate;  
trimagnesium phosphate;  
hydroxyapatites;  
synthetic apatites;  
alumina;  
10 hydrated alumina;  
hydrated silica xerogel;  
metal aluminosilicate complexes;  
sodium aluminum silicates;  
zirconium silicate;  
15 silicon dioxide; and  
combinations thereof.

5. A toothbrush according to claim 4,  
wherein said polishing agent has a Moh's hardness  
of from about 0.5 to about 10.

20 6. A toothbrush according to claim 5,  
wherein said filaments contain from about 0.5%  
(wt) to about 25% (wt), said polishing agent  
having a particle size of from about 0.1  $\mu\text{m}$  to  
about 10  $\mu\text{m}$  wherein said polishing agent is  
25 selected from the group consisting of kaolin,  
alumina, hydroxyapatite, silica and combinations  
thereof.

7. A toothbrush according to claim 6,  
wherein the filament base material is selected  
30 from the group consisting of polyamides, acetyl  
resins, polyesters, fluoropolymers, polyacrylates,  
polysulfones and combinations thereof.

8. A toothbrush according to claim 7,  
wherein said polishing agent is generally  
35 dispersed throughout said filament.

9. A toothbrush according to claim 8,  
wherein said filament further comprises a

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dispersing agent.

10. A toothbrush according to claim 9,  
wherein said filament further comprises a  
dispersing agent selected from the group  
5 consisting of magnesium stearate, zinc stearate,  
calcium stearate, dimethylamides of unsaturated  
fatty acid, fatty acids, fluoropolymer-based  
dispersants, fats, aluminum stearate, silicone  
oils, bisamide waxes and combinations thereof.
- 10 11. A toothbrush according to claim 10,  
wherein said filament further comprises a coupling  
agent selected from the group consisting of vinyl  
silane, chloropropyl silane, epoxy silane,  
methacrylate silane, primary amine silane, diamine  
15 silane, mercapto silane, cationic silane,  
cycloaliphatic expoxide silane, titanate and  
combinations thereof.
12. A toothbrush according to claim 11,  
wherein said filaments have a diameter of from  
20 about 100  $\mu\text{m}$  to about 350  $\mu\text{m}$ .
13. A toothbrush according to claim 12,  
wherein said thermoplastic filament base material  
is selected from the group consisting of  
polyamides, acetyl resins and polyesters.
- 25 14. A toothbrush according to claim 13,  
wherein said dispersing agent is selected from the  
group consisting of magnesium stearate, zinc  
stearate, calcium stearate, bisamide waxes and  
combinations thereof.
- 30 15. A toothbrush according to claim 14,  
wherein said polishing agent is kaolin clay.
16. A toothbrush according to claim 7,  
wherein said filament further comprises a core  
region and a sheath region which extends along at  
35 least a portion of the outer surface of the  
filament and further extends inwardly into a  
portion of the cross-sectional area, wherein said

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sheath region contains an effective amount of said polishing agent and said core region comprises from 0% to about 25% polishing agent.

17. A toothbrush according to claim 7,  
5 wherein said filament further comprises a core region and a sheath region which extends along at least a portion of the outer surface of the filament and further extends inwardly into a portion of the cross-sectional area, wherein said  
10 sheath region contains from 0% to about 25% of said polishing agent and said core region contains an effective amount of said polishing agent.

18. A toothbrush according to claim 17,  
15 wherein the free ends of said filaments are rounded off, resulting in a general dome shape having a height to width ratio of less than about 1.

19. A toothbrush including a handle associated with a head having at least one tuft  
20 securely affixed in or attached to the head, said tuft including a plurality of elongated filaments having a generally uniform diameter comprised of:

(a) a thermoplastic filament base material; and,

25 (b) an effective polishing amount of a polishing agent having a particle size of from about 0.10 micron to about 10 microns, wherein said filaments are characterized by:

a diameter in the range of from  
30 about 100 to about 350  $\mu\text{m}$ ;

a coefficient of friction of from about 0.01 to about 0.90;

an ISO stiffness rating of Soft to Medium;

35 a tuft retention greater than 3 lbs.; a band recovery of from 80% to 100%;

an elongation at break of from

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about 1% to about 500%;

a tensile strength of from about 5,000 to about 200,000 psi; and

5 a tensile and flexural modulus of from about 100,000 to about 3,000,000 psi.

20. A toothbrush according to claim 19, wherein the diameter of said filament does not vary more than 20% along the length of said filament and wherein said polishing agent is  
10 selected from the group consisting of:

particles of plastic;  
particles of walnut shells;  
particles of hardwood;  
particles of corn cob;  
15 particles of rubber;  
calcium carbonate;  
aragonite clay;  
orthorhombic clays;  
calcite clay;  
20 rhombohedral clays;  
kaolin clay;  
bentonite clay;  
dicalcium phosphate;  
dicalcium phosphate anhydrous;  
25 dicalcium phosphate dihydrate;  
tricalcium phosphate;  
calcium pyrophosphate;  
insoluble sodium metaphosphate;  
precipitated calcium carbonate;  
30 magnesium orthophosphate;  
trimagnesium phosphate;  
hydroxyapatites;  
synthetic apatites;  
alumina;  
35 hydrated alumina;  
hydrated silica xerogel;  
metal aluminosilicate complexes;

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sodium aluminum silicates;  
zirconium silicate;  
silicon dioxide; and  
combinations thereof.

5    21.        A toothbrush according to claim 20,  
         wherein said filaments contain from about 0.5%  
         (wt) to about 25% (wt), said polishing agent  
         having a particle size of from about 0.1 to about  
10        10 wherein said polishing agent is selected from  
         the group consisting of kaolin, alumina,  
         hydroxyapatite, silica and combinations thereof.  
         and wherein the filament base material is selected  
         from the group consisting of polyamides, acetyl  
         resins, polyesters, fluoropolymers, polyacrylates,  
15        polysulfones and combinations thereof.

         22.        A toothbrush according to claim 21,  
         wherein said filament further comprises a  
         dispersing agent selected from the group  
         consisting of magnesium stearate, zinc stearate,  
20        calcium stearate, dimethylamides of unsaturated  
         fatty acid, fatty acids, fluoropolymer-based  
         dispersants, fats, aluminum stearate, silicone  
         oils, bisamide waxes and combinations thereof  
         wherein said filament further comprises a coupling  
25        agent selected from the group consisting of vinyl  
         silane, chloropropyl silane, epoxy silane,  
         methacrylate silane, primary amine silane, diamine  
         silane, mercapto silane, cationic silane,  
         cycloaliphatic epoxide silane, titanate and  
30        combinations thereof.

         23.        A toothbrush according to claim 22,  
         wherein said core material extends along the  
         entire longitudinal surface.

         24.        A toothbrush according to claim 10,  
35        wherein said filaments are characterized by:  
         a diameter in the range of from about  
         150 to about 200  $\mu\text{m}$ ;

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a coefficient of friction of from about 0.20 to about 0.80;

a bend recovery of from 90% to 100%;

an elongation at break of from about 1%  
5 to about 200%;

a tensile strength of from about 5,000 to about 100,000 psi; and

a tensile and flexural modulus of from 100,000 to about 1,500,000 psi.

10 25. A method of cleaning the oral cavity comprised of:

(A) providing a toothbrush including a handle associated with a head having at least one tuft securely affixed in or attached to the head,  
15 said tuft including a plurality of elongated filaments having a generally uniform diameter comprised of:

(a) a thermoplastic filament base material; and,

20 (b) an effective polishing amount of a polishing agent having a particle size of from about 0.10 micron to about 10 microns; and

(B) applying an effective amount of an abrasive free and polishing agent free dentifrice  
25 to the free ends of said bristles; and,

(C) brushing said oral cavity.

26. A method of cleaning the oral cavity according to claim 25, wherein the diameter of said filament does not vary more than 20% along  
30 the length of said filament and wherein said polishing agent is selected from the group consisting of:

particles of plastic;  
particles of walnut shells;  
35 particles of hardwood;  
particles of corn cob;  
particles of rubber;

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- 5 calcium carbonate;  
aragonite clay;  
orthorhombic clays;  
calcite clay;  
rhombohedral clays;  
kaolin clay;  
bentonite clay;  
dicalcium phosphate;  
dicalcium phosphate anhydrous;  
10 dicalcium phosphate dihydrate;  
tricalcium phosphate;  
calcium pyrophosphate;  
insoluble sodium metaphosphate;  
precipitated calcium carbonate;  
15 magnesium orthophosphate;  
trimagnesium phosphate;  
hydroxyapatites;  
synthetic apatites;  
alumina;  
20 hydrated alumina;  
hydrated silica xerogel;  
metal aluminosilicate complexes;  
sodium aluminum silicates;  
zirconium silicate;  
25 silicon dioxide; and  
combinations thereof.
27. A method of cleaning the oral cavity  
according to claim 26, wherein said filaments  
contain from about 0.5% (wt) to about 25% (wt),  
30 said polishing agent having a particle size of  
from about 0.1  $\mu\text{m}$  to about 10  $\mu\text{m}$  wherein said  
polishing agent is selected from the group  
consisting of kaolin, alumina, hydroxyapatite,  
silica and combinations thereof and wherein the  
35 filament base material is selected from the group  
consisting of polyamides, acetyl resins,

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polyesters, fluoropolymers, polyacrylates,  
polysulfones and combinations thereof.



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FIG. 1

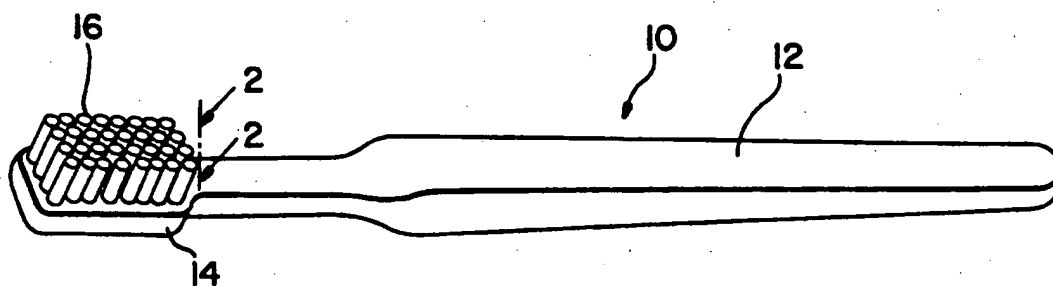


FIG. 2

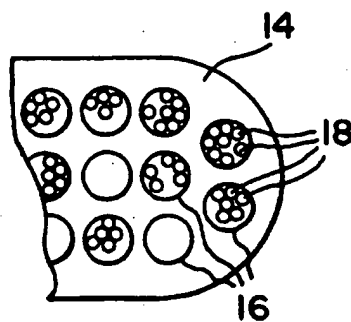


FIG. 4

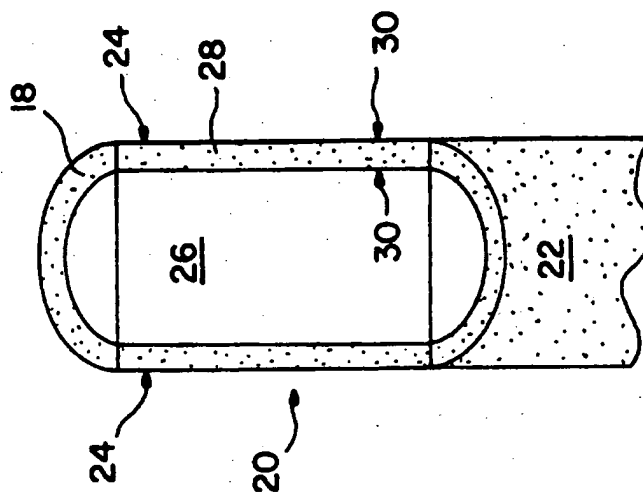
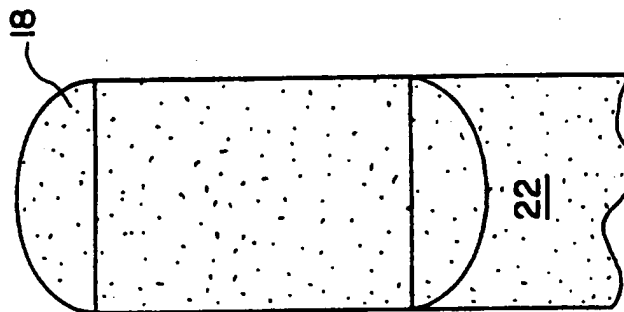


FIG. 3



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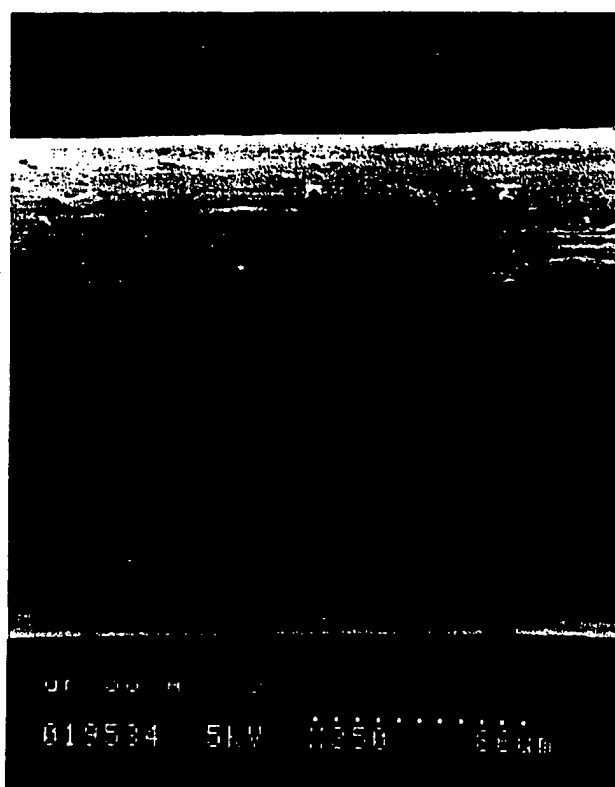


FIG. 5

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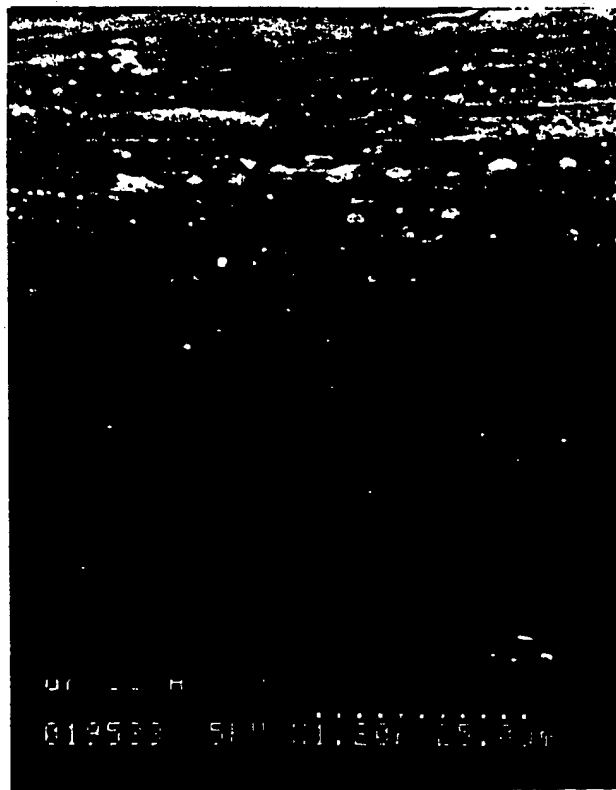
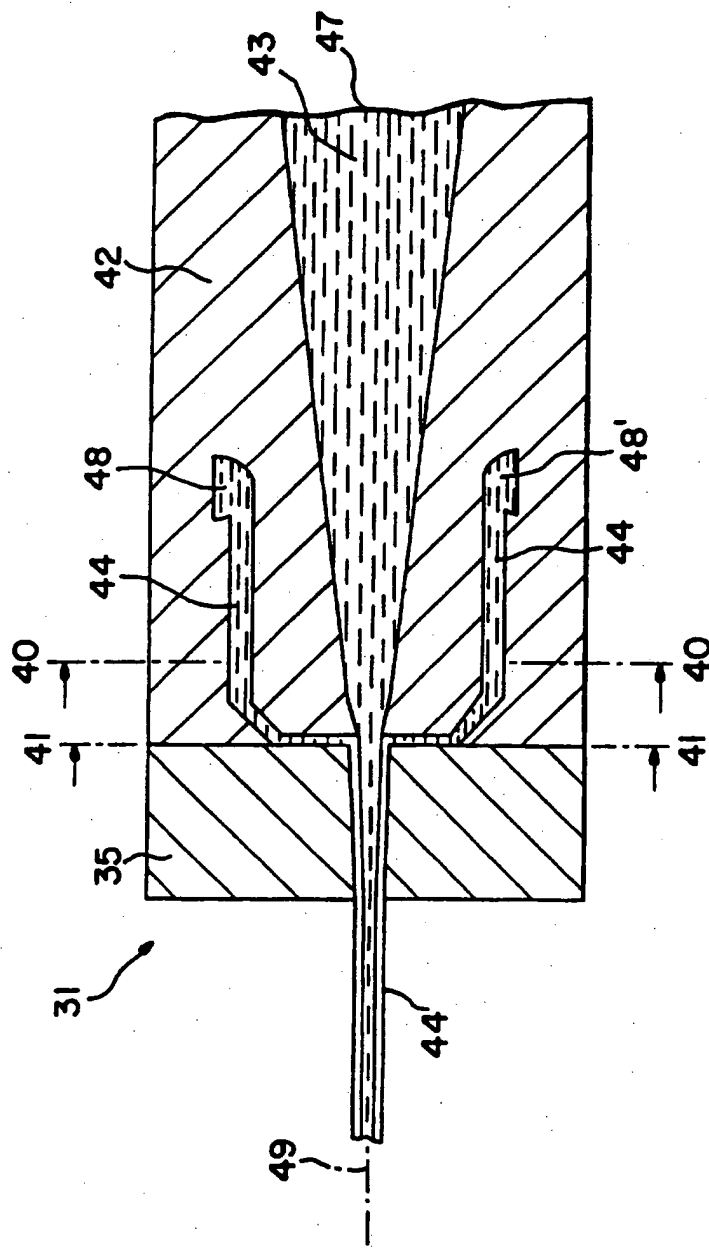


FIG. 6

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FIG. 7



# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/US 96/01320

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A46D1/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A46D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	FR,A,2 541 100 (FRESELLE CHRISTIAN) 24 August 1984	1-3
A	see page 1, line 1 - page 3, line 34	19,25
Y	---	---
A	GB,A,1 093 931 (ICI) 6 December 1967	1-3
	see page 1, line 14 - page 2, line 45; examples	19,25
	---	---
A	US,A,4 507 361 (TWILLEY IAN C ET AL) 26 March 1985	1,19,25
	see column 1, line 34 - column 4, line 36	
	---	---
P,A	WO,A,95 23539 (MINNESOTA MINING & MFG) 8 September 1995	
	see examples	
	-----	

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

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Date of the actual completion of the international search

7 June 1996

Date of mailing of the international search report

14. 06. 96

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# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 96/01320

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
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GB-A-1093931		NONE	
US-A-4507361	26-03-85	NONE	
WO-A-9523539	08-09-95	AU-B- 1881895	18-09-95